



<b>PROBATE BOND</b>		No Financial Statement necessary. Applicant should sign front of application.			
Name of Deceased or Ward			<input type="checkbox"/> Deceased <input type="checkbox"/> Ward	Is there a going business in the estate? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Date of Birth	Date of Death	Date of Appointment	Has a bond been filed in this estate before? <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, do not write the bond; submit to Company for approval.)		
Name and Address of Attorney (Must be Represented by an Attorney for RLI to Consider a Bond.)				Will attorney remain throughout the estate? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Name, Age and Health Status <input type="checkbox"/> Minor <input type="checkbox"/> Incompetent			Applicant's Relationship to Deceased/Ward		Applicant's Net Worth
Is applicant indebted to the estate or trust? <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, explain how debt will be repaid on separate sheet.)			Who are the heirs of this estate?		
What is the source of guardianship funds? (If an insurance settlement, do not execute the bond; submit to RLI for approval.)			Are guardianship funds to be used for support of ward? (If Yes, please send copy of court order authorizing monthly expenditures.) <input type="checkbox"/> Yes <input type="checkbox"/> No Do all interested parties agree with the principal's appointment as fiduciary? <input type="checkbox"/> Yes <input type="checkbox"/> No (If No, do not write the bond; submit to RLI for approval.)		
Is the bond required on the demand of an interested person? <input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, by whom?			Assets of estate or trust (describe). Send copy of inventory if assets exceed \$300,000.		
Name and Address of Court					

<b>LOST SECURITIES</b>		Attach all information from transfer agent.			
Serial Number and Description (Please submit a copy or sample of the form it was on.)			Date of Instrument	Payable to applicant only? <input type="checkbox"/> Yes <input type="checkbox"/> No If No, to whom is it payable?	
Are securities pledged, assigned or endorsed? <input type="checkbox"/> Yes <input type="checkbox"/> No		How did instrument become lost or destroyed?		Has notice of loss been given? <input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, when? To whom?	
If registered, in whose name?		If a check, has payment been stopped? <input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, when?		If a deed of trust or note, has either been involved in a lawsuit? <input type="checkbox"/> Yes <input type="checkbox"/> No Was a judgment obtained? <input type="checkbox"/> Yes <input type="checkbox"/> No	

<b>MISCELLANEOUS COURT BOND</b>		Please include with application the court documents including the complaint and any court orders.			
Plaintiff			Name and Address of Applicant's Attorney		
Defendant			Name and Location of Court		
Describe Nature and Reason for Action					

<b>FIDELITY BOND</b>		No Financial Statement necessary. Applicant should sign front of application.			
Annual Salary	Will applicant sign checks? <input type="checkbox"/> Yes <input type="checkbox"/> No	Is countersignature required? <input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, by whom?		Regular audits? <input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, by whom?	
Are bank accounts reconciled by someone not authorized to deposit or withdraw from the accounts? <input type="checkbox"/> Yes <input type="checkbox"/> No		Ever discharged from any employment? <input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, why?			
Last position held?	Present Position or Title		How long in present position?	Applicant's Net Worth	

<b>PUBLIC OFFICIAL BOND</b>		No Financial Statement necessary. Applicant should sign front of application.			
Official Title	Other or Previous Occupation	<input type="checkbox"/> Elected <input type="checkbox"/> Appointed	Date	Term of Office	Premium will be paid <input type="checkbox"/> Annually <input type="checkbox"/> For Term
Applicant's Net Worth					

**FINANCIAL INFORMATION** Financial information needs to be completed for all financial obligation bonds (sales tax, wage and welfare, utility bonds, etc.) and for all bond requests of \$10,000 or more unless otherwise noted. Principal may attach their own financial statement or complete information below.

ASSETS	
Cash In _____ Bank _____	
Cash In _____ Bank _____	
Stocks & Bonds Consisting of _____	
Notes Receivable - Describe _____	
Accounts Receivable _____	
Merchandise, Inventories, Etc. (At Cost) _____	
Real Estate (Location, Description and Appraised Value).	
1. _____	
2. _____	
3. _____	
Furniture & Fixtures _____	
Other Assets - Describe _____	
<b>TOTAL ASSETS</b> _____	
Gross Sales - Two Years Ago _____ Last Year _____	
Net Income - Two Years Ago _____ Last Year _____	

LIABILITIES	
Notes Payable _____ Bank _____	
Notes Payable _____ Bank _____	
Other Notes Payable _____	
Accounts Payable _____	
Taxes Due _____	
Real Estate Mortgages (Give Amount on Each Parcel)	
1. _____	
2. _____	
3. _____	
Other Liabilities - Describe _____	
<b>TOTAL LIABILITIES</b> _____	
Capital Stock _____	
Net Worth or Surplus _____	
<b>TOTAL LIABILITIES AND NET WORTH</b> _____	